



COMMISSION NEWS

ARIZONA CORPORATION COMMISSION, 1200 W. WASHINGTON, PHOENIX, AZ 85007

TO: EDITORS, NEWS DIRECTORS
FOR: IMMEDIATE RELEASE

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ARIZONA SAVINGS & INVESTMENT MONTH CAMPAIGN FEATURES PUBLIC PRESENTATIONS AROUND ARIZONA

PHOENIX – The Arizona Corporation Commission's Securities Division is reaching out to investors during the month of April as part of Arizona Savings & Investment Month. Representatives from the Commission will be making more than a dozen presentations during the month to help promote financial literacy and encourage people to invest wisely. The schedule is as follows:

- April 1-6 – Yuma County Fair. Securities Division personnel will staff a booth at the fairgrounds where attendees can pick up valuable information about different types of investments and information about safe investing. Senior Day at the fair is April 1.
- April 4 – Dave Leifer, special investigator for the Securities Division, will discuss careers in financial fraud investigation at the 3rd Annual National Academy Foundation's Student Leadership Conference at the North Phoenix Embassy Suites. Members include students from throughout the western U.S. Open to National Academy Foundation members only.
- April 8 – "How to Outsmart the Swindlers" at the Mesa Senior Center East, 7550 East Adobe Road in Mesa, one mile east of Power Road. This event is being held in conjunction with the Arizona Attorney General's Office and the Mesa Pilot Project. Event is open to the public and starts at 10:00 a.m.
- April 8 – Phoenix College Business Club, 1202 West Thomas Road. Two presentations to members of the club about how the Arizona Corporation Commission's Securities Division functions in its role as regulator, how cases are handled at the Commission and specific enforcement actions from recent cases. Commissioner Bill Mundell will give the 7:00 p.m. presentation. A representative from the Securities Division will give the 1:00 p.m. presentation.
- April 9 – Presentations to Gilbert High School students on the basics of saving and investing. Open to school students only.

- April 10 – Presentation to the Future Business Leaders Association student conference at Central Arizona College. Open to students only.
- April 15 – The Planned Giving Roundtable, a group of attorneys, financial planners and nonprofit program managers, will hear a presentation from Mark Dinell, Securities Division attorney, who will discuss the recent developments in legislation and concerns regarding charitable gift annuities.
- April 15 – Arizona Bar Association. Presentation to fellow attorneys by Mark Sendrow, director of the Commission’s Securities Division on recent cases as well as changes in the securities law.
- April 16 – Valley of the Sun Kiwanis Club at the Crowne Plaza Hotel. Commissioner Hatch-Miller will discuss the role of the Commission in regulating securities brokers, dealers and investment advisors and provide helpful tips on avoiding securities fraud.
- April 17 - 27 – Pima County Fair in Tucson. Securities Division personnel will staff a booth at the fairgrounds where attendees can pick up valuable information about different types of investments and information about safe investing. Senior Day at the Fair is April 22.
- April 22 – Peoria Chamber of Commerce. Wendy Coy, Securities Division attorney, will speak about how investors can avoid securities fraud and how the business community can be on the lookout for con artist and scams. Open to the public but reservations are required. Call the Chamber at 623-979-3601.
- April 24 – Desert Vista High School, Phoenix. Representatives will speak to students about the importance of saving and investing.
- April 28 – Sun City Library, 99th Avenue south of Bell Road in the Hoover Room. Representatives from the Commission will give a presentation entitled “How to Outsmart the Swindlers.”

To learn more about safe investing, click on www.ccsd.cc.state.az.us or call 602-542-4242. From outside the Phoenix metro area, call toll free at 1-877-811-3878. To schedule a presentation for your group of 25 or more members, please call Terri Alexon, Investor Education Coordinator, at 602-542-0428.

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